93184-00014

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## THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:

ACKERMAN, William H. III

Serial No.: 10/765,013

Confirmation No.: 1289

Filed: January 26, 2004

For: ACOUSTIC BEAM SHAPING BY PULSE

POWER MODULATION AT CONSTANT

**AMPLITUDE** 

Group Art Unit: 3737

Examiner: Not Yet Assigned

I hereby certify that this correspondence and/or fee is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to Mail Stop Amendment,

Commissioner for Patents, P.O. Box 1450,

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Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## **INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the provisions of 37 C.F.R. §§ 1.97 and 1.98, applicant and his attorney respectfully request that the following patents and publications be made of record in the official United States Patent and Trademark Office file relating to the above-identified application. The citation of the following patents and publications should not be construed as an admission that they constitute prior art with respect to the present invention.

## U.S. Patent Nos.

| 5,148,810 | 5,922,962 |
|-----------|-----------|
| 5,261,408 | 5,928,151 |
| 5,291,892 | 5,971,927 |
| 5,409,010 | 6,066,096 |
| 5,546,807 | 6,135,971 |
| 5,623,930 | 6,148,095 |
| 5,701,898 | 6,238,346 |
| 5,808,962 | 6,524,253 |
| 5,840,033 | 6,682,483 |
| 5.911.692 |           |

## Non-Patent Literature Documents

- C. KASAI, K. NAMEKAWA, A. KOYANO and R. OMOTO "Real-Time Two Dimensional Blood Imaging Using An Autocorrelation Technique", IEEE Transactions On Sonics and Ultrasonics, Vol. SU-32, no.3, pp 458-464, May 1985.
- K. FERRARA and G. DeANGELIS, "Color Flow Mapping", Ultrasound in Medicine and Biology", Vol. 23, no. 2, pp 321-345, March 1997.
- T. BUCK, et al., "Flow Quantification In Valvular Heart Disease Based On The Integral Of Backscattered Acoustic Power Using Doppler Ultrasound", PROC. IEEE, Vol. 88, no. 3 pp 307-330, March 2000.

All of the above-listed references are in the English language. In such circumstances, comments concerning the relevance of the foregoing references are considered unnecessary.

In order to facilitate the Examiner's citation of the patents and publications listed above, applicant's attorney has completed United States Patent and Trademark Office Form PTO/SB/08 (formerly PTO-1449). The completed Form is attached hereto for the Examiner's convenience.

No fees are believed to be due in connection with the submission of this Information Disclosure Statement. If any such fees are due, the Examiner is hereby authorized to charge them to Deposit Account No. 501402.

Respectfully Submitted,

McCARTER & ENGLISH, LLP

Stephen Gigante, Reg. No. 42,576

For: John K. Kim Reg. No. 37,002

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NWK2: 1318107 v1

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Complete if Known Substitute for form 1449A/PTO 10/765,013 Application Number INFORMATION DISCLOSURE Filing Date Januarty 26, 2004 STATEMENT BY APPLICANT First Named Inventor Ackerman, William H. III Art Unit 3737 (use as many sheets as necessary) **Examiner Name** TBA 93184/00014 2 Attorney Docket Number Sheet of

| <b>T</b>              | U.S. PATENT DOCUMENTS    |   |                                |  |   |  |
|-----------------------|--------------------------|---|--------------------------------|--|---|--|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number  2  Number Kind Code (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |  |
|                       |                          | US- 5,148,810                                   | 09/1992                        | Maslak, et al.                                     |   |  |
|                       |                          | US- 5,261,408                                   | 11/1993                        | Maslak, et al.                                     |   |  |
|                       |                          | US- 5,291,892                                   | 03/1994                        | O'Donnell  |   |  |
|                       |                          | US- 5,409,010                                   | 04/1995                        | Beach, et al.                                      | •   |  |
|                       | ,                        | US- 5,546,807                                   | 08/1996                        | Oxaal, et al.                                      |   |  |
|                       |                          | US- 5,623,930                                   | 04/1997                        | Wright, et al.                                     |   |  |
|                       |                          | US- 5,701,898                                   | 12/1997                        | Adam, et al.                                       |   |  |
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|                       |                          | US- 5,840,033                                   | 11/1998                        | Takeuchi   |   |  |
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|                       |                          | US- 6,135,971                                   | 10/2000                        | Hutchinson, et al.                                 |   |  |
|                       |                          | US- 6,148,095                                   | 11/2000                        | Prause et al.'                                     |   |  |
|                       |                          | US- 6,238,346                                   | 5/2000                         | Mason  |   |  |
|                       |                          | US- 6,524,253                                   | 2/2003                         | Abend  |   |  |
|                       |                          | US- 6,682,483                                   | 1/2004                         | Abend  |   |  |
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| FOREIGN PATENT DOCUMENTS |      |                     |                     |                     |                  |                             |  |                |
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| Examiner                 | Cite | F                   | oreign Patent Do    | cument              | Publication Date | Name of Patentee or         | Pages, Cotumns, Lines,<br>Where Relevant |                |
| Initials                 | No.1 | Office <sup>3</sup> | Number <sup>4</sup> | Kind⁵<br>(if known) | MM-DD-YYYY       | Applicant of Cited Document | Passages or Relevant<br>Figures Appear   | T <sup>6</sup> |
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| Examiner  | Dat | te .     | ` |
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08B (08-03)
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| Complete if Known             |                          |  |  |
|-------------------------------|--------------------------|--|--|
| Application Number            | 10/765,013               |  |  |
| Filing Date Januarty 26, 2004 |                          |  |  |
| First Named Inventor          | Ackerman, William H. III |  |  |
| Art Unit                      | 3737                     |  |  |
| Examiner Name                 | TBA                      |  |  |
| Attorney Docket Number        | 93184/00014              |  |  |

| Examiner Initials*  Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s publisher, city and/or country where published.  C. KASAI, K. NAMEKAWA, A. KOYANO and R.OMOTO, "Real-Time Two Dimensional Blusing An Autocorrelation Technique", IEEE Transactions On Sonics and Ultrasonics, Vol. SUpp 458-464, May 1985.  K. FERRARA and G. DE ANGELIS, "Color Flow Mapping", Ultrasound in Medicine and Biology, no. 2, pp 321-345, March 1997.  T. BUCK, et al., "Flow Quantification in Valvular Heart Disease Based on the Integral of Back Acousite Power Using Doppler Ultrasound", PROC. IEEE, vol. 88, no. 3, pp 307-330, March 2 | ).                 | T <sup>2</sup> |
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| pp 458-464, May 1985.  K. FERRARA and G. DE ANGELIS, "Color Flow Mapping", Ultrasound in Medicine and Bio 23, no. 2, pp 321-345, March 1997.   | 1 Y                |                |
| 23, no. 2, pp 321-345, March 1997.   | J-32, no. 3,       |                |
| T. BUCK, et al., "Flow Quantification in Valvular Heart Disease Based on the Integral of Back Acousite Power Using Doppler Ultrasound", PROC. IEEE, vol. 88, no. 3, pp 307-330, March 2  | logy, Vol.         |                |
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| Signature | Considered |  |

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

<sup>1</sup> Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.